



Atty. Docket No.: 10191/2131

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Christel SARFERT  
Serial No. : 10/075,834  
Filing Date : February 13, 2002  
For : **METHOD AND DEVICE FOR STATE SENSING OF  
TECHNICAL SYSTEMS SUCH AS ENERGY STORES**  
Examiner : Toan M. Le  
Art Unit : 2863  
Confirmation No. : 9008

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

Date: 8/4/04

Signature:

TRANSMISSION OF REFERENCES AND INFORMATION DISCLOSURE  
STATEMENT PURSUANT TO 37 C.F.R. § 1.97(i)

Sir:

Applicants wish to be made of record the following references, listed on the attached Form PTO-1449.

It is respectfully requested that the references be placed in the Patent Office file for the above-identified matter for interested members of the public in accordance with 37 C.F.R. § 1.97(i).

Dated: 8/4/04

By:

Respectfully submitted,  
By:

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT PTO-1449</b>	DOCKET NO. 10191/2131	SERIAL NO. 10/075,834
	APPLICANT Christel SARFERT	
	FILING DATE February 13, 2002	GROUP 2863

#### U. S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	PATENT DATE	NAME	CLASS	SUBCLASS	FILING DATE*

#### FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 00/41042	July 13, 2000	PCT			N/A	
	0 505 333	December 23, 1998	EP			N/A	

#### OTHER DOCUMENTS

EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.	
	Wei-Yong Yan et al., "Convergent Algorithms for Frequency Weighted $L_2$ Model Reduction," Systems & Control Letters, Vol. 31 (1997) pp. 11-20	
	Takayuki Torikai et al., "Research and Development of the Model-Based Battery State of Charge Indicator," Signal Processing and Systems Control, Intelligent Sensors and Instrumentation, San Diego, November 9-13, 1992, Proceedings of the International Conference on Industrial Electronics, Control; Instrumentation and Automation (IECON), New York; IEEE, US, Vol. 3, Conf. 18, 9, November 1992 (1992-11-09), pp. 996-1001	
	Stefano Soatto et al., "Dynamic Data Factorization," Technical Report UCLA CSD 010001, March 6, 2000, pp. 1-8	

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	